

**APPENDIX A**



**Joint Audit and Governance Committee - 26 September 2024**

**Updates on Risk and Opportunity Management**

	<b>January 2024 update</b>	<b>September 2024 update</b>
<b><u>Corporate Risks and Opportunities</u></b>	19 Risks - Plus 5 3 Opportunities - Minus 1	18 Risks - Minus 2 2 Opportunities - Minus 1
<b><u>Service Risks and Opportunities</u></b> <b><u>Housing &amp; Communities Directorate</u></b>		
Residents Services	4 Risks - Plus 1	5 Risks - Plus 1
Housing, Homelessness & Prevention	13 Risks	12 Risks - These are from the Housing Improvement Plan which is reported to JAGC on a regular basis.
<b><u>Sustainability &amp; Resources Directorate</u></b>		
Finance	5 Risks	5 Risks
Legal & Democratic Services	6 Risks	8 Risks - Plus 2
Operations & Sustainability	5 Risks - Minus 1	5 Risks
Technology & Design	2 Risks	2 Risks

<b><u>Place Directorate</u></b>		
Place & Economy	12 Risks - Plus 1	17 Risks - Plus 5 (Includes some Risks transferred from the Community, Capacity & Resilience Service)
Regenerative Development Planning	14 Risks 20 Risks - Plus 1	12 Risks - Minus 2 18 Risks - Minus 2

<b><u>High Risks on Service Risk registers</u></b>	<b><u>January 2024</u></b>	<b><u>September 2024</u></b>
Adur Homes/Housing	1 - No change	None
Financial Services	4 - No change	4 - No change
Residents Services	1- No change	1 - No change
Regenerative Development	2 - Plus 1	1- Minus 1
Legal & Democratic Services	None	1 - Plus 1

 <p>Risks where assessment score/level has increased since the last report</p>	<p><b><u>Planning</u></b> - Building Control - Implementation of new Building Safety Act. Risk of not being able to fulfil statutory Building Control duties. Reputational damage and impact to major development schemes requiring sign off. - Risk escalated to Corporate level - Medium Risk</p> <p><b><u>Legal &amp; Democratic Services</u></b> - Elections and referenda - Risk of increasing complexity and frequency implementing legislative changes creating additional responsibilities and activity required of the electoral services team. - High Risk</p>
 <p>Risks where assessment score/level has reduced since the previous report.</p>	<p><b><u>Place &amp; Economy</u></b> - Risk of proposed CCTV contract changes by Sussex Police to cease to operate the current arrangements from post 31 March 2024 - Risk reduced to a Service level risk from Corporate Risk - Medium Risk</p>

<p>New Risks/Opportunities added since last report or changes to Risk descriptions</p>	<p><b><u>Corporate</u></b> - Risk - Strategic Property Investment Fund (SPIF) - Risk that the Councils fail to meet SPIF income targets which affects overall Council funds - High Risk .</p> <p>Corporate income and debt collection - Medium Risk</p> <p><b><u>Sustainability &amp; Resources Directorate -</u></b>  <b><u>Legal &amp; Democratic Services</u></b> - The Interim DPO/SIGO does not hold the required qualifications (Practitioner in Data Protection and Practitioner in FOI/EIR). This poses a risk that advice and guidance may be delayed in response times - Medium Risk</p> <p>A reduction in Feedback &amp; Request for Information Officer post from 30 September - Risk that statutory deadlines and requirements are not being met (both on RFI and Customer Feedback side). - Medium Risk</p> <p><b><u>Technology &amp; Design</u></b> - Corporate wifi connection is broken - This remains out of service for some considerable time - Expected network refresh end of 2024 - Medium Risk.</p> <p><b><u>Residents Services</u></b> - Contact Centre - Risk of reputational damage due to a reduction in staffing levels as a result of the redesign of the service - Medium Risk.</p>
<p>Risks/Opportunities removed since last report.</p>	<p><b><u>Corporate</u></b> - Delivery of partnership working and joint services impacted by different administrations - Risk mitigated.</p> <p>Opportunity - Rapid Improvement Team - Opportunity removed because the Rapid Improvement Team has merged into the wider team and sits under a new 'Digital Transformation' area.</p> <p><b><u>Technology &amp; Design</u></b> - Town Hall based servers present potential Business continuity</p>

risk including lack of service availability and potential data loss - Pace and quality of cloud migration project (IAAS) is critical to Business Continuity - Risk now mitigated.

**Regenerative Development** - Risk of failure to develop and adhere to property management protocols for the Council's commercial property portfolio - Risk removed because this is no longer an issue of concern.

Affordable homes development in Shoreham - Neighbour dispute about consultation - Risk now mitigated.

**Planning** - Sale of Adur Civic Centre (Main site) - Risk of failure to secure planning permission for the redevelopment of sites. (Phase II). Risk of a delay in generating a capital receipt and securing the regeneration of the wider site to provide homes and jobs - Risk removed because planning permission granted.

GDPR compliance - Risk that the Planning and Development service publishes personal details or sensitive material which breaches GDPR and Data Protection guidelines - Risk removed because the Service is now compliant with the outcomes from the Audit.