

APPENDIX B (iii)

Scrutiny request

Issue - Consider how the Risks and Opportunities Register is presented to the Joint Audit & Governance Committee (JAGC) and how JOSC might recommend improvements to the way the information is presented.

Request from - JOSC Members

Public interest -

It is important for the public to have more information on how the Councils are managing Risks and Opportunities.

Score = 4

Ability to change -

The information in the Register is produced by Officers - It is resource dependent to change it.

Score = 1

Performance -

Improved Risks and Opportunities information will improve the ability of JOSC to scrutinise and act as a critical friend, helping JOSC identify a better scope for potential agenda items.

Better information will be available for Ward Members.

Score = 5

Extent -

The Risks and Opportunities information provides too large an overview and hinders JOSC ability to operate effectively.

Score = 5

Replication -

Risks and Opportunities updates are provided to the JAGC twice a year and reports on the Corporate Risks and Opportunities are reported to the Joint Strategic Committee twice a year.

The Councils' approach to Risks and Opportunities Management is set out in the Risks and Opportunities Management Strategy which was agreed in March 2024 for a 2 year period.

The information contained in the Risks and Opportunities register is under constant review as is the format of the register.

Prior to a potential review, JAGC Chairs could be contacted as a matter of courtesy and/or invited to give evidence.

Score = 2

Expected Outcomes of the review -

- What is the expected outcome of the review?
- Who will the Committee submit advice, observations, comments or recommendations to?
- What does the Committee need to know, or gain a clearer understanding of, in order to achieve the outcome?
- What is the Committee trying to achieve from the review (i.e develop policy, investigate shortcomings or gaps, investigate the effects of policy etc)?

In undertaking this review JOSC will require a better understanding of Risks and Opportunities and how they are presented. This will allow for better scoping of agenda items which leads to better scrutiny by JOSC.

JOSC can recommend changes to the way that Risks and

Opportunities are presented but would need to provide comments/recommendations to the JAGC, Joint Strategic Committee, the Leaders and Council Leadership Team as they are responsible for overall Risk and Opportunity management policy, supporting the Risks and Opportunities management framework and for monitoring the effective development and operation of Risk and Opportunity management in the Councils.

In order to undertake this review JOSC will need to have an appraisal of how Risks and Opportunities are managed by the Councils.

What is the methodology for the review?

- Is the review so in depth that a Working Group review is recommended
- An Officer Report to the Committee
- Themed Meeting / inquiry for review, discussion, recommendations

It is proposed that JOSC should receive an Officer report on this issue and consult with the JAGC Chairs prior to the report or invite them to attend a JOSC meeting and give evidence.

What time should be allocated for the review?

- How much time should be allocated to the item review?
- How long would a working group need to conclude a review?
- If there is a Themed meeting will this be for all or part of the meeting?

It is proposed that this issue be considered as an agenda item on a JOSC meeting agenda - January 2025.

Guests/Speakers/Resources required

- Who will need to be involved with the review - guests (cabinet members, officers, community representatives, experts), consultees and in what capacity
- Might there be a requirement for training and or external advice?

Chairs of JAGC and the Leaders will need to be invited.

Committee time/date required

- When does the review need to be carried out by?
- Are there critical dates that the committee must meet?
- When will the working group present its findings to the Committee?

It is suggested that this item should be considered at the JOSC meeting in January 2025.

Communications

- What communications are required for the review (i.e will there be a requirement for public engagement, will there be opportunities for promotion of the work of the Committee)

JOSC will be able to promote this item as part of its overall agenda and Work Programme development and information provided on the Council website.

Does the proposed review link with the Council strategic objectives or does the Joint Overview and Scrutiny Committee have the ability to influence and/or add value on the subject?

Information on Risks and Opportunities is part of the foundations of the Councils'.

Risk and Opportunity management is the control of business risks and opportunities in a manner to ensure that both long and short term objectives and priorities of the Councils are achieved and that opportunities are fully maximised.